Enantioselective separations of chiral pharmaceuticals using chirally modified tetrahexahedral Au nanoparticles

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ABSTRACT

Tetrahexahedral (THH, 24-sided) Au nanoparticles modified with D- or L-cysteine (Cys) have been used as enantioselective separators of the chiral pharmaceutical propanolol (PLL) in solution phase. Polarimetry has been used to measure the rotation of linearly polarized light by solutions containing mixtures of PLL and Cys/THH–Au NPs with varying enantiomeric excesses of each. Polarimetry yields clear evidence of enantiospecific adsorption of PLL onto the Cys/THH–Au NPs. This extends prior work using propylene oxide as a test chiral probe, by using the crystalline THH Au NPs with well-defined facets to separate a real pharmaceutical. This work suggests that chiral nanoparticles, coupled with a density separation method such as centrifugation, could be used for enantiomeric purification of real pharmaceuticals. A simple robust model developed earlier has also been used to extract the enantiospecific equilibrium constants for R- and S-PLL adsorption onto the D- and L-Cys/THH–Au NPs.

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1. Introduction

The two enantiomers of chiral pharmaceuticals have the same chemical structure but, nonetheless, have different pharmacological activity and toxicity. This creates an enormous market for enantiomerically pure pharmaceuticals and a critical need for enantioselective and enantiospecific chemical processes, because ~50% of currently marketed pharmaceuticals are chiral [1,2]. Most synthetic pharmaceuticals are prepared in racemic form (equimolar mixture of two enantiomers) and then require enantiospecific separation and purification. Enantioselective separations of synthetic chiral pharmaceuticals are, therefore, extremely important to reaching the full therapeutic potential of these compounds. The work described herein, demonstrates the potential for use of chiral nanoparticles (NPs) as adsorbent phases for enantioselective purification of chiral pharmaceuticals from solution phase [3–5].

Prior work has shown that simple chiral probe molecules such as R- and S-propylene oxide (PO) can be adsorbed enantioselectively onto the surfaces of Au NPs that have been chirally modified with either D- or L-cysteine (Cys) [6–8]. The work herein, advances prior accomplishments by using tetrahexahedral (THH, 24-sided) Au NPs exposing well-defined crystal planes and, more importantly, demonstrating that the methodology works with use of a known pharmaceutical, propranolol (PLL). The value of NPs exposing single crystalline facets is that they have the potential to be more highly enantioselective that previously used spherical NPs exposing an inhomogeneous distribution of surface orientations. PLL hydrochloride (chemical structure in Fig. 1D) is an example of a β-blocking drug used to treat high blood pressure and heart disease. It also demonstrates enantiospecific therapeutic impact [1,2]. S-PLL is 100 times more effective than R-PLL. Similarly, S-ibuprofen is 100 times more effective as an anti-inflammatory drug than its R-enantiomer [1,2]. The toxicology of other chiral pharmaceuticals reveals that the two enantiomers can have completely opposite pharmacological impact; one can be therapeutic while the other is toxic. Well known examples are thalidomide and dopamine. L-dopamine can be used to treat Parkinson’s disease whereas D-dopamine is highly toxic [2]. Similarly, S-thalidomide can treat morning sickness in pregnant women whereas R-thalidomide is linked to birth defects [1]. Due to the differences in the pharmacological activity of enantiomers, the pharmaceutical industry takes enormous precautions to isolate enantiomerically pure materials for marketing as pharmaceuticals.

Two enantiomeric separation techniques used in the pharmaceutical industry are chiral high performance liquid chromatography and simulated moving bed chromatography [2,9]. Both of these techniques require chiral selectors which are either stationary or mobile and the choice of the most effective chiral column materials for any given separation is extremely important but also extremely difficult. In addition to the composition of the chiral stationary or mobile phase, the length of the column, and other environmental parameters such temperature need to be optimized for any given separation.

There have been a variety of studies of the structures and enantiospecific properties of chiral NPs [3–5,10]. In past work, we have developed the use of polarimetry as a tool for detection of the enantiospecific partitioning of chiral probe compounds such as...
propylene oxide (PO) between a solution phase and the surfaces of chiral Au NPs [6–8]. The key observation is that when a racemic mixture of PO is added to a solution containing D- or L-Cys/Au NPs, one observes an increase in the rotation of polarized light as the concentration of racemic PO is increased. The increases in rotation angle are equal but of opposite sign for solutions containing D- and L-Cys/Au NPs. Although the racemic PO itself does not rotate light at any concentration, its racemic PO is increased. The increases in rotation of polarized light as the concentration of PO is added to a solution containing D- or L-Cys/Au NPs taken before surface modification of a HAuCl₄/CTAB seed solution. This begins by adding 0.25 mL of 0.01 M AuIII chloride trihydrate (HAuCl₄·3H₂O) solution to 9.75 mL of 0.1 M cetyl trimethylammonium bromide (CTAB) solution at 37 °C while stirring. Next, a 0.6 mL aliquot of 0.01 M sodium borohydride (NaBH₄) solution was quickly injected into the HAuCl₄/CTAB solution. The resulting solution was rapidly stirred for 1 min then covered and left to rest for 3 h at room temperature. This solution was placed in a hot water bath at 37 °C to dissolve any CTAB crystals that had precipitated. Finally, a 1:50 dilution of the solution with deionized water yielded the HAuCl₄/CTAB seed solution.

The THH–Au NP growth solution was prepared by adding 40 mL of 0.1 M CTAB to 2.0 mL of 0.01 M HAuCl₄ while gently stirring. Next 0.4 mL of 0.01 M silver nitrate (AgNO₃), 0.8 mL of 1.0 M HCl, and 0.32 mL of 0.1 M ascorbic acid were added in that order. After addition of ascorbic acid the color changes from orange to colorless. At this point, a 250 μL aliquot of dilute HAuCl₄/CTAB seed solution was quickly added to create the THH–Au NP growth solution. The growth solution was gently stirred for an additional 3 s then covered with Parafilm™ and left to rest for 8 h to allow the seeds to grow into THH–Au NPs. The final color of the THH–Au NP solution was purple–brown.

In order to chirally modify the THH–Au NPs, their surfaces were coated with D- or L-Cys by mixing 1 mL of the THH–Au NP solution with 4 mL of 0.050 M D- or L-Cys in deionized water [6–8]. The solution was sonicated for approximately 30 min and then allowed to sit for approximately one hour before making optical rotation measurements.

2.2. Coating of THH–Au NPs with D or L-cysteine

The size, shape and morphology of THH–Au NPs were examined by SEM (scanning electron microscopy) and TEM (transmission electron microscopy). In order to prepare a sample of THH–Au NPs for SEM and TEM, a 1 ml solution of THH–Au NPs was centrifuged at 10,000 rpm for 5 min to separate the THH–Au NPs from the solution. The supernatant liquid was discarded and the remaining THH–Au NP precipitate was suspended in 50 μL of water. 10 μL of this THH–Au NP solution was drop-cast onto a carbon coated Cu TEM grid and the sample was dried for more than 24 h. The high resolution TEM studies were conducted using a Technai F20 FEG/HRT/STEM with a Gatan imaging filter and Energy Dispersive X-ray spectroscopy operating at 200 keV. SEM images were obtained using a FEI Helios PFIB 660 with an L-Star electron column. The instrument operates at a voltage of 5 kV and a current of 5.4 pA.

UV–vis spectrophotometry was used to compare the THH–Au–PLL and THH–Au–S–PLL solutions to those produced by Ming et al. [13]. The THH–Au NP solution was diluted by a factor of two with DI water and scanned over the range 400 to 900 nm using a Cary UV–vis spectrophotometer. A Rudolph Research Analytical Autopol VI was used for the optical polarimetry measurements. This has the ability to control the temperature of the sample and to measure optical rotations at six different wavelengths. A typical optical rotation measurement involved putting 3 mL of solution in the TempTrol polarimeter sample cell. For each sample, ten measurements were taken at a wavelength of 436 nm and a temperature of 23 °C. The average of these ten values was reported.

In order to estimate the values of the specific optical rotation constants for R- and S-PLL, ᾱ_R = – ᾱ_S solutions of R- and S-PLL were made by dissolving 0.01 g of R-PLL or S-PLL in 5 mL of deionized water. The solution was then sonicated for 1 min and the optical rotation was measured. This process was repeated using four more 0.01 g
additions of R-PLL or S-PLL to produce two plots of optical rotation angle versus concentration. The slope of the best fit line for each plot was used for $\alpha_{\text{D}}$ or $\alpha_{\text{L}}$.

AuIII chloride trihydrate (>99%), sodium borohydride, L-cysteine (>97%), D-cysteine (99%), and DL-cysteine (>97%), cetyl trimethylammonium bromide, silver nitrate (99%), ascorbic acid (99%), and R- and S-propanolol hydrochloride (>98%) were purchased from Sigma Aldrich and were used without further purification.

3. Results

3.1. THH–Au NP characterization

The THH–Au NPs were characterized initially using SEM, TEM and UV–vis spectrophotometry. The SEM image in Fig. 1A reveals the formation of Au NPs with a highly homogeneous morphology. The NPs are rod-like with ~40 nm diameter and lengths of ~100 nm. The bright field TEM images of Fig. 1B & C reveal that the NPs are crystalline with well-formed facets. The planes revealed by the high resolution image in Fig. 1C are spaced along the [100] direction. Although the facet orientation has not been determined in this work, prior work shows that they are achiral, high Miller index planes [13–17]. The UV–vis spectra are also characteristic of THH–Au NPs. Fig. 2 reveals two distinct absorption peaks at 522 nm and 735 nm characteristic of Au NPs [13,18].

3.2. Optical rotation by PLL in Cys/THH–Au NPs

The enantiospecific interaction of R- and S-PLL with D- and L-Cys/THH–Au NPs has been studied quantitatively using optical polarimetry to measure the rotation of linearly polarized light passing through solutions containing mixtures of R- and S-PLL with varying enantiomeric excess and either D- or L-Cys/THH–Au NPs. Alternatively, we have also measured the rotation of linearly polarized light passing through solutions containing either pure R- or pure S-PLL and mixtures of D- and L-Cys/THH–Au NPs with varying enantiomeric excess. Fig. 3 shows a schematic representation of the measurement protocol implemented to prepare solutions with well-controlled fractional concentrations of R- and S-PLL, defined as $\beta = x^{\text{D}}/(x^{\text{D}} + x^{\text{L}})$, in solutions with constant concentrations of D- or L-Cys/THH–Au NPs. The complementary protocol was used to prepare solutions with well-controlled fractional concentrations of D- and L-Cys/THH–Au NPs, defined as $\gamma = x^{\text{L}}/(x^{\text{D}} + x^{\text{L}})$, in solution with constant concentrations of 0.05 M R- or S-PLL. In the case of the measurements that spanned $\beta = 0 \rightarrow 1$, two 5 mL aqueous solutions were prepared initially with identical concentrations of D-Cys/THH–Au NPs and 0.05 M of R-PLL and S-PLL in each. After measuring the optical rotation by these two solutions having $\beta = 0$ and $\beta = 1$, aliquots of 0.5 mL were transferred between them. Optical rotation measurements were then made using these solutions now having $\beta = 0.1$ and $\beta = 0.9$. Then 0.5 mL aliquots were transferred between the two and another set of optical rotation measurements was made. This transferring of solution between vials was continued until $\beta$ approached 0.5 for both the solutions. A similar protocol was used for the measurements in which the fractional concentrations of D- and L-Cys/THH–Au NPs were varied from $\gamma = 0 \rightarrow 1$.

Fig. 4A plots the optical rotation angles measured for solutions containing D- or L-Cys/THH–Au NPs (1.1 × 10^{14} NP/mL) as functions of $\beta$ with a total PLL concentration of 0.05 M. These data are linear in $\beta$, as expected [7]. Note that solutions of opposite chirality (D-Cys/Au at $\beta$ versus L-Cys/Au at $1 - \beta$) exhibit optical rotation angles that are equal in magnitude but opposite in sign. They are related by diastereomerism. Fig. 4B plots the optical rotation angles for solutions containing R-PLL or S-PLL at a constant concentration of 0.05 M as a function of $\gamma$. The total concentration of Au NPs in these solutions is estimated at 1.1 × 10^{14} NP/mL. These data also exhibit diastereomerism. Details of the adsorption behavior and the model used to quantify these data are given in the Discussion section.

Perhaps the clearest demonstration of the enantiospecific interaction of the PLL with the chirally modified THH–Au NPs comes from measurement of optical rotation of light during addition of racemic RS-PLL to solutions containing D- or L-Cys/THH–Au NPs (Fig. 5). Fig. 5 shows the control experiments, optical rotation by solutions containing racemic DL-Cys/THH–Au NPs and various concentrations of RS-PLL. As expected, DL-Cys/THH–Au NPs alone induce no optical rotation (■) and the addition of RS-PLL induces no rotation of light. Addition of RS-PLL to the solution containing pure D-Cys/THH–Au NPs induced a rotation of +0.09 °/M (♦) while addition to the solution containing pure L-Cys/THH–Au NPs induced a rotation of −0.09 °/M (◊). As shown in previous work, the optical rotation during addition of a racemic mixture to a solution of chiral NPs arises, in part, as a result of the enantiospecific interactions between PLL...
The quantity \( \beta \) but total concentration of 0.05 M. B) Optical rotation angles measured for solutions containing constant concentrations of R- and S-PPL (0.05 M) and mixtures of D- or L-Cys/THH–Au NPs (1.1 \( \times \) 10\(^{14}\) NP/mL) with varying values of \( \gamma \). Note that solutions exhibit diastereomerism in that the solutions with opposite chirality have optical rotations with equal but opposite sign.

4. Discussion

4.1. Adsorption and optical rotation model

In previous work, a model was developed from a Langmuir adsorption isotherm to relate optical rotation measurements to the physical processes and parameters governing adsorption of chiral molecules on chiral Au NPs [6,7]. Fig. 6 illustrates the adsorption equilibria that determine the relative concentrations of R- and S-PPL in the solution phase and adsorbed on the D- and L-Cys/THH–Au NPs. The total concentrations of Cys/THH–Au NPs and PLL are assumed to be constant. Two terms, \( \beta \) and \( \gamma \), define the fractional compositions of a given solution. The value \( \beta = 0 \rightarrow 1 \) refers to the fractional concentration of R-PPL in solutions containing both PLL enantiomers.

\[
\alpha = \frac{\beta \cdot x_{\text{tot}}^{\text{R-PPL}}}{x_{\text{tot}}^{\text{R-PPL}}} = \frac{\beta}{1 - \beta} \cdot x_{\text{tot}}^{\text{R-PPL}}
\]

(1)

The quantity \( x_{\text{tot}}^{\text{R-PPL}} \) is the concentration of R-PPL with units of M and \( x_{\text{tot}}^{\text{S-PPL}} \) is the concentration of S-PPL, and \( x_{\text{tot}}^{\text{PLL}} \) is the total concentration of PLL. A similar term, \( \gamma = 0 \rightarrow 1 \), defines the fraction of L-Cys/THH–Au NPs in a solution containing both NP enantiomers.

\[
x_{\text{tot}}^{\text{L-PPL}} = \gamma \cdot x_{\text{tot}}^{\text{L-PPL}}
\]

(3)

\[
x_{\text{tot}}^{\text{S-PPL}} = (1 - \gamma) \cdot x_{\text{tot}}^{\text{L-PPL}}
\]

(4)

An equilibrium constant, \( K \), with units of (M\(^{-1}\)) is assigned to each of the four diastereomeric PLL-Cys/THH–Au NP combinations. For instance, \( K_{D}^{L} \) is the equilibrium constant governing adsorption of R-PLL onto the surfaces of D-Cys/THH–Au NPs. Diastereomerism constrains these four equilibrium constants to having the following relationships: \( K_{D}^{L} = K_{D}^{S} = K_{L}^{R} = K_{L}^{S} \).

The quantities \( \alpha \) are the specific optical rotation constants for PLL in units of (°/M). A critical point is that \( \alpha_{\text{sol}} \neq \alpha_{\text{ads}} \) and, as a consequence, the net rotation of light by a solution containing R-PLL is determined by the fraction in the solution phase and the fraction in the adsorbed phase. The dimensionless parameter

\[
\alpha = \frac{\alpha_{\text{sol}}^{R} / \alpha_{\text{sol}}^{L}}{\alpha_{\text{ads}}^{R} / \alpha_{\text{ads}}^{L}}
\]

(5)

Fig. 6. The physical phenomena and the parameters governing optical rotation by solutions of R- and S-PPL with D- and L-Cys/THH–Au NPs. The equilibria between the concentrations of PLL in the solution phase, \( x_{\text{sol}} \), and in the adsorbed phase, \( x_{\text{ads}} \), are dictated by the equilibrium constants, \( K \). The quantity, \( S_{0} \), represents the concentration of PLL adsorption sites on the Cys/THH–Au NPs. The specific optical rotation constants, \( \alpha \), for PLL differ in the adsorbed and solution phases. Diastereomerism gives the following relationships between quantities: \( K_{D}^{L} = K_{D}^{S} = K_{L}^{R} = K_{L}^{S} = - \alpha_{\text{ads}} \) and \( \alpha_{\text{sol}} \).
Fig. 7. Dimensionless optical rotation measurements from Fig. 4 plotted versus β and γ. The curves are the optimal fits of Eqs. (9a) (9b) and (10a) (10b) to the data using α, α₀, and K, as fitting parameters.

Fig. 8. Plot of the sum of squared errors (SSE) objective function used to fit Eqs. (9a) (9b) and (10a) (10b) to the data in Fig. 7. The value of SSE is plotted versus K₀ and K, evaluated at α = 0.59. The contour at SSE = 0.026 estimates the region of ± 1σ confidence and the contour at SSE = 0.44 estimates the region of ± 2σ confidence.

quantifies the ratio between the specific optical rotation constants of PLL in the adsorbed and solution phases. The values of α₀ = −α₀ are readily determined from measurements of optical rotation by solutions of R-PLL and S-PLL. However, the value of α₀ cannot be determined easily. Therefore, α is an unknown parameter that must be extracted from measurements of optical rotation by solutions containing both PLL and Cys/THH–Au NPs.

The quantity S₀ represents the concentration in solution of PLL binding sites on the Cys/THH–Au NPs. This value is also difficult to measure; however, under conditions where the coverage of PLL on the Cys/THH–Au NPs is low, the adsorption isotherms depend only on the coupled quantities

\[ K₀ = S₀K₀^β = S₀K₀^β \]

\[ K₁ = S₀K₁^β = S₀K₁^β \]

which can also be extracted from measurements of optical rotation by solutions containing both PLL and Cys/THH–Au NPs.

Optical rotation measurements have been made using the two protocols described in Section 3.2 for varying β and γ. One is indicated by Fig. 4A which shows the optical rotation measured using a constant concentration of either D- or L-Cys/THH Au NPs (1.1 × 10¹⁴ NP/mL) with varying enantiomer fraction, β, of R- and S-PLL at a constant total concentration of 0.05 M. The optical rotation measurements obtained using the other protocol are found in Fig. 4B which shows the optical rotation measured using a constant concentration of 0.05 M R- or S-PLL with varying enantiomer fraction, γ, of D- and L-Cys/THH Au NPs at a constant total concentration of 1.1 × 10¹⁴ NP/mL. The optical rotations, α, measured from these solutions have been rendered dimensionless using

\[ α = \frac{α}{K₀^α + α₀} \]

(8)

and are replotted in Fig. 7.

Under conditions in which the coverage of PLL on the Cys/THH–Au NP surfaces is low, the equilibrium coverage will be linear in the solution phase concentration. The data illustrated in Fig. 4 have been obtained in this regime. Under these conditions, the dimensionless optical rotations can be expressed using Eqs. (9a) (9b) and (10a) (10b). Note that, unfortunately, these expressions were printed incorrectly in reference [6] and are therefore corrected here.

\[ α₀(β) = \frac{1 + K₀^β}{1 + K₀^β} - \left[ \frac{1 + K₀^β}{1 + K₀^β} \right] β \]

(9a)

\[ α₁(β) = \frac{1 + K₀^β}{1 + K₀^β} - \left[ \frac{1 + K₀^β}{1 + K₀^β} \right] β \]

(9b)

\[ α₂(γ) = \frac{1 + (γK₀ + (1 − γ)K₀)}{1 + (γK₀ + (1 − γ)K₀)} \]

(10a)

\[ α₃(γ) = \frac{1 + (γK₀ + (1 − γ)K₀)}{1 + (γK₀ + (1 − γ)K₀)} \]

(10b)

The values of the three parameters α, K₀, and K₁ have been estimated by fitting Eqs. (9a) (9b) and (10a) (10b) to the data in Fig. 7. The curves in Fig. 7 represent the best fits to the experimental data and yield values of α = 0.59, K₀ = 1.73, and K₁ = 0.80. Fig. 8 plots the sum of squared errors use to estimate the parameters α, K₀, and K₁ evaluated at α = 0.59 and illustrates the regions of 66% and 95% confidence in the values of K₀ and K₁. The key point is that K₀ and K₁ are significantly different and, therefore, reveal true enantiospecific adsorption of PLL on chiral Cys/THH–Au NPs with K₀/K₁ = 2.2. Note that this value is similar to others measured in for PO adsorption on chiral Au NPs and for enantiospecific adsorption of chiral probe molecules on chiral single crystal surfaces [6,7,19].

5. Conclusions

The binding of R- or S-PLL from aqueous solution to the chirally modified surfaces of D- and L-Cys/THH–Au NPs is enantiospecific. In particular, the equilibrium constant for adsorption of R-PLL to the surfaces of L-Cys/THH–Au NPs is 2.2 times that of S-PLL. By diastereomerism the binding of S-PLL to the surfaces of the Cys/THH–Au NPs is 2.2 times that of R-PLL. These enantiospecific interactions with chiral Au NPs could be used as the basis for separations methods based on chromatography or centrifugation.

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