

# Oxidation Kinetics of Hydrogenated Amorphous Carbon ( $a\text{-CH}_x$ ) Overcoats for Magnetic Data Storage Media

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The oxidation kinetics of  $a\text{-CH}_x$  overcoats during exposure to oxygen and water vapor have been measured using X-ray photoemission spectroscopy (XPS) in an apparatus that allows oxidation and analysis of freshly deposited  $a\text{-CH}_x$  overcoats without prior exposure of the overcoats to air. The uptake of oxygen on the surfaces of the  $a\text{-CH}_x$  overcoats has been measured at  $\text{O}_2$  and  $\text{H}_2\text{O}$  pressures in the range  $10^{-7}$ – $10^{-3}$  Torr at room temperature. The uptake of oxygen during  $\text{O}_2$  exposures on the order of  $10^7$  Langmuirs leads to saturation of the  $a\text{-CH}_x$  overcoat surfaces at oxidation levels on the order of 20%. This indicates that the surfaces of  $a\text{-CH}_x$  overcoats are relatively inert to oxidation in the sense that the dissociative sticking coefficient of  $\text{O}_2$  is  $\sim 10^{-6}$ . Oxygen uptake during exposure to  $\text{H}_2\text{O}$  vapor is similar to the uptake during exposure to  $\text{O}_2$  gas. Although the surfaces of the  $a\text{-CH}_x$  overcoats are quite inhomogeneous, it has been possible to model the uptake of oxygen on their surfaces using a fairly simple Langmuir–Hinshelwood mechanism. Interestingly, the saturation coverage of oxygen during exposure to air at atmospheric pressure is  $\sim 6\%$ , significantly lower than that obtained during low-pressure exposure to  $\text{O}_2$  gas or  $\text{H}_2\text{O}$  vapor.

## 1. Introduction

Hydrogenated and nitrogenated amorphous carbon films ( $a\text{-CH}_x$  and  $a\text{-CN}_x$ , respectively) are used to protect the magnetic layer of magnetic data storage hard disks.<sup>1–3</sup> Various types of amorphous carbon have been chosen because of their high hardness, high wear resistance, and chemical inertness. The carbon overcoat provides mechanical protection of the magnetic layer from damage that might occur during contact with the magnetic recording head. It also provides protection of the magnetic layer from oxidation and corrosion by air and humidity. The overcoat itself is, however, subject to oxidation in air and to changes in properties due to oxidation. The oxidation kinetics of the carbon overcoats are the subject of this paper.

The final steps in the production of a magnetic data storage hard disk include the deposition of an  $a\text{-CH}_x$  or  $a\text{-CN}_x$  overcoat film, removal of the disk from the vacuum deposition system in which it is fabricated and the application of the lubricant via dip-coating.<sup>4–6</sup> Removal of the disk from vacuum and exposure to ambient air results in the immediate oxidation of the exposed surface of the  $a\text{-CH}_x$  film, as has been documented by a number of studies using XPS.<sup>7,8</sup> One of the important consequences of

the oxidation of the amorphous carbon overcoats is that it influences the adsorption and surface chemistry of the perfluoropolyalkylether (PFPE) lubricants.<sup>9</sup> The interaction of the  $a\text{-CH}_x$  film with the PFPE lubricants can, therefore, be tailored by controlled oxidation of the  $a\text{-CH}_x$ . However, controlled oxidation of the  $a\text{-CH}_x$  requires an understanding of oxygen adsorption on  $a\text{-CH}_x$  overcoats and prediction of the oxidation kinetics.

Many studies of oxygen adsorption on carbonaceous materials have focused on the oxidation of the surfaces of single crystalline materials such as diamond and graphite.<sup>10–21</sup> Among the low Miller index surfaces of natural diamond, the (111) surface exhibits the highest rate of oxidation when exposed to  $\text{O}_2$  at temperatures between 900 and 1300 K. The reactivity is lower on the (110) plane and lowest on the (100) plane.<sup>13,15</sup> Arrhenius-

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type behavior is observed for the temperature dependence of the oxidation rate of polycrystalline thin film diamond.<sup>10,13,15</sup> The activation energies for oxidation of polycrystalline thin film diamond are roughly consistent with values reported for natural diamond.<sup>15</sup> Room-temperature oxygen adsorption on natural diamond and polycrystalline diamond thin films is believed to generate functional groups such as carbonyl, acetyl, carboxylic acid, ether, and carboxylic anhydride.<sup>10,21,22</sup> The reaction between oxygen and graphite occurs very slowly at room temperature. The oxidation is usually assumed to be localized at the edge planes of the crystallites where the graphitic layers terminate with unsaturated bonds.<sup>12,13,16</sup>

Previous studies of the oxidation of  $a\text{-CH}_x$  films have focused on the effects of surface oxidation on their optical and electronic properties.<sup>23</sup> It is known that air or  $\text{O}_2$  exposure generates oxidized carbon species such as  $\text{C}-\text{O}-\text{C}$ ,  $\text{C}-\text{OH}$ , and  $\text{C}=\text{O}$  on the surface of the carbon overcoat, thus changing its surface properties.<sup>7,8</sup> However, little is known about the kinetics and mechanism of oxygen adsorption on  $a\text{-CH}_x$  films because the kinetics are more complicated than on either diamond or graphite. The surfaces of  $a\text{-CH}_x$  films are heterogeneous and are composed of carbon atoms with both  $\text{sp}^2$  and  $\text{sp}^3$  hybridization and varying degrees of hydrogenation.<sup>24–26</sup> Furthermore, the oxidation of freshly sputter deposited  $a\text{-CH}_x$  is so rapid in atmospheric pressure air that the oxidation kinetics have not been studied carefully.

The work described in this paper develops an understanding of the oxidation of freshly deposited  $a\text{-CH}_x$  surfaces. This represents the first such study of the kinetics of  $a\text{-CH}_x$  oxidation using fresh  $a\text{-CH}_x$  surfaces that have never been exposed to air. The deposition of fresh  $a\text{-CH}_x$  films, their oxidation by exposure to  $\text{O}_2$  and  $\text{H}_2\text{O}$ , and the measurements of oxygen uptake have all been performed in a single ultrahigh vacuum apparatus. This has allowed precise control of the  $\text{O}_2$  and  $\text{H}_2\text{O}$  exposures and elimination of contaminants present in ambient air. The results show that oxidation of the  $a\text{-CH}_x$  surfaces occurs relatively slowly, in the sense that the dissociative sticking coefficient is on the order of  $10^{-6}$ . In spite of the fact that the surfaces of the  $a\text{-CH}_x$  films must be heterogeneous, the oxidation kinetics can be described reasonably well using a simple Langmuir–Hinshelwood model. Finally, we observe that the saturation oxidation level of  $a\text{-CH}_x$  films exposed to air at 760 Torr is lower than the saturation oxidation level reached at oxygen pressures of  $<10^{-3}$  Torr.

## 2. Experimental

All the experiments were performed in an ultrahigh vacuum chamber that allowed the deposition of  $a\text{-CH}_x$  overcoats by magnetron sputtering and exposure of these fresh films to  $\text{O}_2$  gas or  $\text{H}_2\text{O}$  vapor at pressures ranging from  $10^{-9}$  to  $10^{-3}$  Torr and temperatures ranging from 80 to 1000 K. Finally, the surfaces of the films were analyzed in situ using XPS to measure the uptake of oxygen on the surface.

The UHV chamber was designed and constructed with the express purpose of studying the vapor-phase lubrication of  $a\text{-CH}_x$  films in

situ.<sup>9</sup> The UHV chamber consists of two levels separated by a gate valve. The lower level is used for  $a\text{-CH}_x$  deposition and is pumped with a turbo pump. It is equipped with an  $\text{Ar}^+$  ion sputter gun for substrate cleaning, a DC magnetron sputter source (MAK130VCFUHV, 1.3" graphite target, US Inc.) for  $a\text{-CH}_x$  film deposition and one leak valve for introduction of air or  $\text{O}_2$  for oxidation of the  $a\text{-CH}_x$  films. In addition, it is equipped with gas flow controllers to allow introduction of a mixture of Ar and  $\text{CH}_4$  into the lower level at constant pressure during the sputter deposition process.

The upper level of the UHV chamber is used for surface analysis of films prepared in the lower level and is pumped with a cryo pump and sublimation pump. It is equipped with an X-ray source (XR50, Specs) and a hemispherical electron energy analyzer (CLAM II, VG Scientific), which are used for X-ray photoelectron spectroscopy (XPS). In addition, the upper level is equipped with a quadrupole mass spectrometer (Q200MS, Ametek) for temperature programmed desorption (TPD) studies of  $(\text{CF}_3\text{CF}_2)_2\text{O}$  or  $\text{CF}_3\text{CH}_2\text{OH}$  adsorbed on the surface of the  $a\text{-CH}_x$  film.

A nickel foil was used as the substrate for deposition of the  $a\text{-CH}_x$  films by magnetron sputtering of a graphite target in the presence of Ar and  $\text{CH}_4$ . The nickel foil substrate was first cleaned by several cycles of  $\text{Ar}^+$  ion sputtering followed by annealing to 950 K before  $a\text{-CH}_x$  film deposition. Films were deposited using a DC power of 75 W at a substrate temperature of 443 K. During the deposition process, the total pressure was maintained at 8 mTorr using a 10%  $\text{CH}_4/(\text{Ar} + \text{CH}_4)$  gas mixture. These deposition conditions were identified on the basis of the results of a study of the Raman spectra obtained from films deposited under a variety of different pressures, powers and temperatures. Raman spectroscopy was performed by removing the sample from the UHV chamber and transporting them to Seagate Technology for analysis. The  $a\text{-CH}_x$  film deposition conditions used in this work were chosen to generate  $a\text{-CH}_x$  films which exhibited Raman spectra similar to those of carbon overcoats used commercially. Specifically, these films exhibited Raman spectra with ratios of the D and G peaks and low baseline Raman scattering similar to those of commercial films.<sup>27</sup>

Following deposition of the fresh  $a\text{-CH}_x$  film, it was exposed to  $\text{O}_2$  or  $\text{H}_2\text{O}$  for a controlled period of time within the lower level, vacuum deposition chamber. Exposure of the  $a\text{-CH}_x$  film surface to  $\text{O}_2$  or  $\text{H}_2\text{O}$  was conducted by positioning the  $a\text{-CH}_x$  film  $\sim 4$  cm from the end of a stainless steel dosing tube that was attached to a vacuum leak valve. An ionization pressure gauge was used to monitor the  $\text{O}_2$  or  $\text{H}_2\text{O}$  pressure. All the exposures reported in this work were performed with the sample at 300 K.

Following oxidation, the surface of the film was analyzed using XPS to measure the uptake of oxygen and the evolution of the C 1s feature. All the film deposition, oxidation, and analysis procedures were performed without removing the sample from the UHV chamber. Oxidation of the  $a\text{-CH}_x$  film is readily detectable by monitoring photoelectron emission from the O 1s core level and by the appearance of high binding energy features in the XP spectrum of the C 1s core level. The XP spectra were obtained using a 290 W Mg K $\alpha$  ( $h\nu = 1253.6$  eV) X-ray source. For high resolution analysis of the spectra, a pass energy of 10 eV was used for the C 1s and O 1s spectra (Figures 1 and 2). For simple measurement of the oxygen uptake, a pass energy of 50 eV was used to increase the signal and decrease the data collection time. The film thickness was determined to be about 70 Å based on the attenuation of the Ni substrate signal. The oxygen content of the oxidized  $a\text{-CH}_x$  film was calculated using the ratio of the integrated areas of the C 1s and O 1s XP spectra. The areas were then scaled by the sensitivity factors of oxygen (0.71) and carbon (0.30) to give

$$\theta_{\text{O}} = \frac{A_{\text{O}}/0.71}{A_{\text{O}}/0.71 + A_{\text{C}}/0.30} \times 100\% \quad (1)$$

where  $A_{\text{O}}$  is the integrated area of O 1s XP spectrum and  $A_{\text{C}}$  is the area of C 1s XP spectrum.

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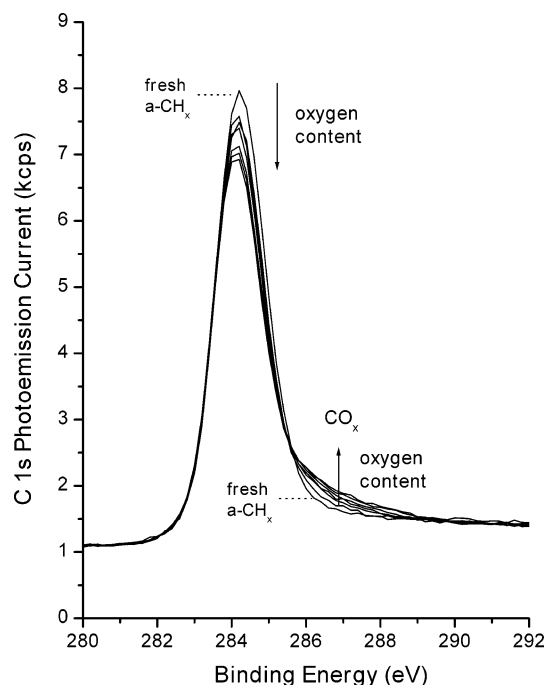
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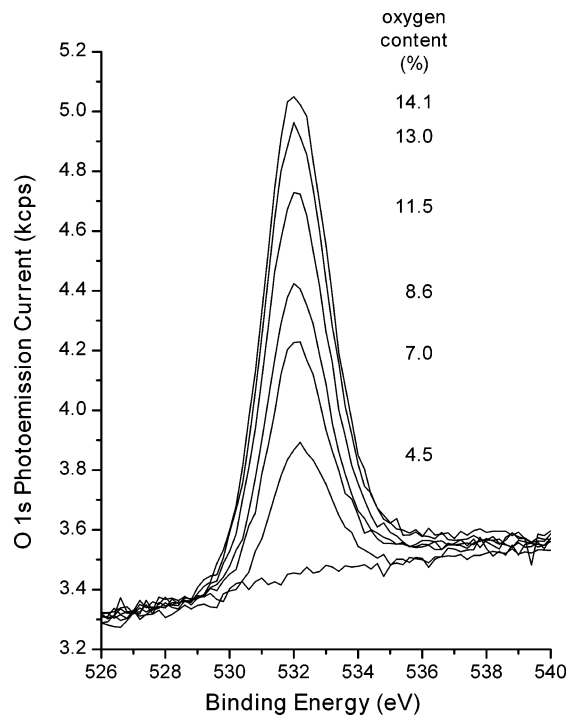
**Figure 1.** C 1s XP spectra of an  $\text{O}_2$ -exposed  $a\text{-CH}_x$  film. A fresh  $a\text{-CH}_x$  film was exposed to  $1 \times 10^{-4}$  Torr of  $\text{O}_2$  at 300 K. The oxygen content on the film surface increases from 0 to 14%. The shoulder from 285.5 to 289.5 eV grows with increasing oxygen content and is due to  $\text{CO}_x$  species formed on the surface.

### 3. Results

**3.1  $a\text{-CH}_x$  Oxidation by  $\text{O}_2$ .** Exposure of the fresh  $a\text{-CH}_x$  film to  $\text{O}_2$  or air results in the oxidation of its surface. It is known that oxygen will covalently bond to carbon atoms and generate oxidized carbonaceous species such as  $\text{C-O-C}$ ,  $\text{C-OH}$ , and  $\text{C=O}$  on the  $a\text{-CH}_x$  surfaces.<sup>7,8</sup> This has been clearly demonstrated using C 1s XP spectra obtained from films exposed to air; however, the oxidation occurs far too rapidly at atmospheric pressure for the oxidation kinetics to be measured. It is only possible to follow the uptake of oxygen with time by using low exposure pressures at which the oxidation occurs over the course of hours.

Oxidation of the fresh  $a\text{-CH}_x$  surfaces has been studied using exposures to  $\text{O}_2$  pressures in the range  $10^{-7}$ – $10^{-3}$  Torr for periods of up to 20 h. Figure 1 shows the C 1s XP spectra obtained during exposures to  $\text{O}_2$  at pressures of  $1 \times 10^{-4}$  Torr. The ion gauge was used to monitor the  $\text{O}_2$  pressure throughout the oxidation process. The  $a\text{-CH}_x$  film was kept at 300 K. Intermittently, the chamber was evacuated to obtain XP spectra of the surface. The C 1s XP spectra in Figure 1 were obtained from an  $a\text{-CH}_x$  film with an oxygen content increasing from 0% to 14%. The fresh  $a\text{-CH}_x$  surface produced immediately after deposition is completely free of oxygen. Attenuation of the C 1s peak at 284.2 eV occurs as a result of both the attenuation of the C 1s signal by adsorbed oxygen and the fact that some of the C 1s signal shifts to higher binding energies. The growth of the shoulder at high binding energy (285.5–289.5 eV) reveals the formation of oxidized carbonaceous species during the exposure to  $\text{O}_2$ . The C 1s XP spectra obtained during the exposure of the  $a\text{-CH}_x$  film to  $\text{H}_2\text{O}$  vapor are very similar to those observed during exposure to  $\text{O}_2$ .

The uptake of oxygen on the  $a\text{-CH}_x$  surfaces during exposure to  $\text{O}_2$  has been monitored using the XP spectra of the O 1s level. Figure 2 shows the O 1s XP spectra of an  $a\text{-CH}_x$  film obtained during exposure of the surface to  $1 \times 10^{-4}$  Torr of  $\text{O}_2$  at



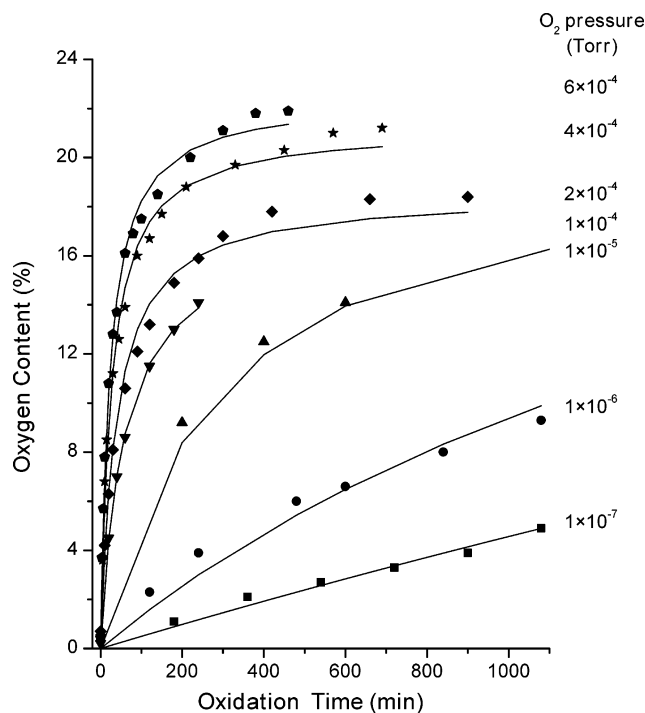
**Figure 2.** O 1s XP spectra of an  $\text{O}_2$ -exposed  $a\text{-CH}_x$  film. A fresh  $a\text{-CH}_x$  film was exposed to  $1 \times 10^{-4}$  Torr of  $\text{O}_2$  at 300 K. The area under the spectrum is proportional to the oxygen absorbed on the  $a\text{-CH}_x$  surface and has been used to calculate the oxygen content.

300 K. The shape of the O 1s XPS spectrum is less informative than that of the C 1s level but its intensity can be used to determine the oxygen content of the  $a\text{-CH}_x$  surface. Initially the  $a\text{-CH}_x$  was oxygen free but clearly becomes oxidized over the course of the exposure to  $\text{O}_2$ . The oxygen content ( $\theta_{\text{O}}$ ) of the  $a\text{-CH}_x$  surface was calculated from the areas under the C 1s and O 1s XP spectra.

The uptake of oxygen,  $\theta_{\text{O}}$ , on the  $a\text{-CH}_x$  film can be monitored as a function of exposure time to probe the oxidation kinetics. Figure 3 shows the oxygen content as a function of oxidation time at  $\text{O}_2$  pressures from  $1 \times 10^{-7}$  to  $6 \times 10^{-4}$  Torr. These data indicate that the rate of oxidation increases with increasing  $\text{O}_2$  pressure. All the curves have the appearance of Langmuir uptake curves in that they saturate with high exposure. Multiple repetition of the uptake curve obtained at  $2 \times 10^{-4}$  Torr indicate that the uptake curves are highly reproducible. The absolute O 1s XPS signals are reproducible to  $\pm 5\%$ . In all cases the oxygen content increased monotonically and at the higher pressures appears to reach a saturation value of  $\theta_{\text{O}} \approx 20\%$ . It seems, however, that the saturation values under different pressures are not exactly the same and are lower at lower pressures. This may be due to limitations on the total exposure times that could be used at low pressures. Saturation requires up to 600 min at an  $\text{O}_2$  pressure of  $6 \times 10^{-4}$  Torr. At  $10^{-5}$  Torr the same exposure would require 600 h. Nonetheless, these data provide the most comprehensive picture of  $a\text{-CH}_x$  oxidation kinetics obtained to date.

**3.2 Electron Excitation Effects.** In order to control the  $\text{O}_2$  pressure precisely during oxidation, the ion pressure gauge was kept on throughout the oxidation processes used to collect the data in Figures 1–4. Electrons, ions or excited-state neutrals generated by the ion gauge do seem to have some effect on the oxidation kinetics of the  $a\text{-CH}_x$  film. Figure 4 illustrates this effect. Fresh  $a\text{-CH}_x$  films were exposed to  $2 \times 10^{-4}$  Torr  $\text{O}_2$  with the ion gauge either on or off. For the exposures made with the ion gauge off, the gauge was used to determine the pressure as  $\text{O}_2$  was being introduced into the chamber but was then turned



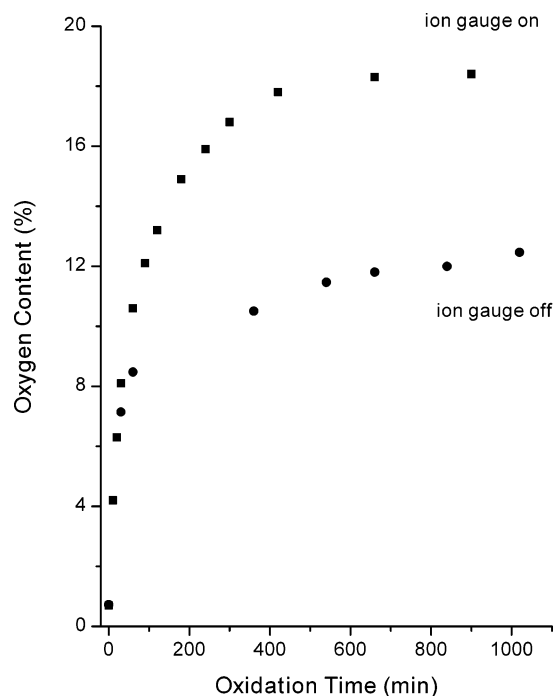


**Figure 3.** Oxygen content on the  $a\text{-CH}_x$  film surface as a function of oxidation time. The data were measured at  $\text{O}_2$  pressures varying from  $1 \times 10^{-7}$  to  $6 \times 10^{-4}$  Torr with the  $a\text{-CH}_x$  film held at 300 K. The solid lines are the fits of a Langmuir adsorption model to the data.

off immediately afterward. The ion gauge was turned on for 30 s every 30 min to check the  $\text{O}_2$  pressure and allow correction for drifts in the pressure. It is clear that the ion gauge does have some impact on the oxidation of the  $a\text{-CH}_x$  film. Species created by the ion gauge do not affect the initial uptake of oxygen but do increase the final saturation oxidation level from  $\theta_{\text{O}} = 12\text{--}18\%$ . This suggests that the excited species generated by the ion gauge can react more readily with sites on the  $a\text{-CH}_x$  film surface that are not as readily oxidized by unexcited  $\text{O}_2$ .

**3.3  $a\text{-CH}_x$  Oxidation by  $\text{H}_2\text{O}$ .** During the production of magnetic data storage media, removal of the hard disk from the vacuum deposition chamber results in the exposure of the  $a\text{-CH}_x$  overcoats to air. In addition to contaminants whose concentrations vary from one location to another, ambient air contains water vapor at concentrations as high as 22 Torr (100% RH). Humidity can also cause oxidation of the  $a\text{-CH}_x$  film surface.

The oxidation of  $a\text{-CH}_x$  films by  $\text{H}_2\text{O}$  was investigated using the same procedure as was used for study of oxidation by  $\text{O}_2$ . Figure 5 shows the oxygen uptake as a function of oxidation time of fresh  $a\text{-CH}_x$  films exposed to  $1 \times 10^{-4}$  Torr  $\text{H}_2\text{O}$  and  $\text{O}_2$  at 300 K. The ion pressure gauge was used to monitor the  $\text{H}_2\text{O}$  and  $\text{O}_2$  pressure throughout the oxidation process. The ionization gauge sensitivities to  $\text{O}_2$  and  $\text{H}_2\text{O}$  are similar ( $\text{O}_2$ : 1.01,  $\text{H}_2\text{O}$ : 1.12).<sup>28–30</sup> As during the oxidation in  $\text{O}_2$ , the initial oxygen uptake rate on the surface exposed to  $\text{H}_2\text{O}$  is high but decreases as the oxygen uptake approaches saturation. The XP spectra of  $a\text{-CH}_x$  exposed to  $\text{H}_2\text{O}$  are similar to those of  $a\text{-CH}_x$



**Figure 4.** Comparison of oxygen content on  $a\text{-CH}_x$  film surfaces with and without the ion pressure gauge turned on. The data were measured at an  $\text{O}_2$  pressure of  $2 \times 10^{-4}$  Torr with the  $a\text{-CH}_x$  film held at 300 K. For the data obtained with the “ion gauge off”, the ion pressure gauge was used in the initial 10 min to reach the desired pressure and then was shut off. The ion pressure gauge was turned on for  $\sim 30$  s every  $\sim 30$  min during the oxidation to check the  $\text{O}_2$  pressure. Species generated by the ion pressure gauge enhance the oxidation of  $a\text{-CH}_x$  films resulting in an increase in the saturation coverage of the oxygen on the surface.

exposed to  $\text{O}_2$ . The only difference is that the oxidation rate is roughly twice as rapid in  $\text{H}_2\text{O}$  as it is in  $\text{O}_2$ .

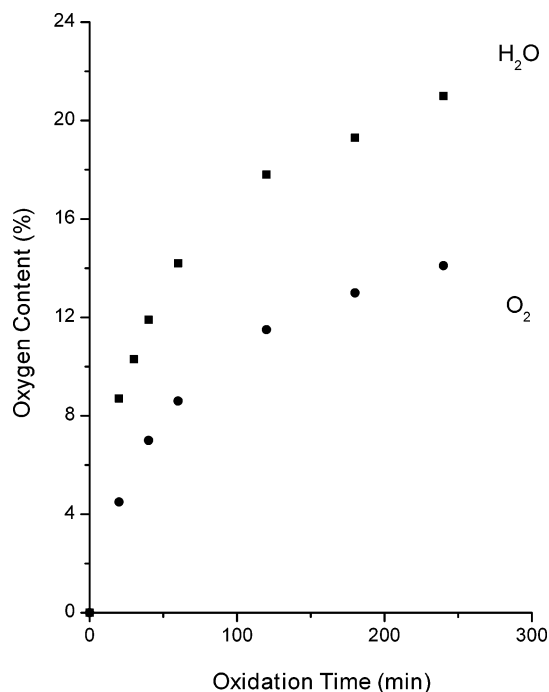
**3.4 High-Pressure Oxidation of  $a\text{-CH}_x$ .** The data in Figure 3 provides a comprehensive view of oxygen uptake on  $a\text{-CH}_x$  overcoats over a broad range of oxygen coverages and at  $\text{O}_2$  exposure pressures in the range  $10^{-7}\text{--}10^{-3}$  Torr. These can be used as the basis for a predictive model of the oxidation kinetics, which ought to be accurate at all pressures provided that there are no processes occurring at very high exposures that are not captured by measurements whose maximum exposure reaches  $\sim 10^7$  L ( $=10$  Torr·s). In order to address this issue, we have made a few measurements using exposures at atmospheric pressures. The air-exposed  $a\text{-CH}_x$  was prepared by venting the chamber with one atmosphere of ambient air immediately after film deposition. The  $a\text{-CH}_x$  film was kept in air at room temperature for 1 h, effectively exposing it to  $10^{12}$  L of oxygen. The chamber was then pumped down to  $10^{-10}$  Torr over  $\sim 12$  h in order to analyze the surface using XPS. Surprisingly, Figure 6 shows that the oxygen coverage is only  $\theta_{\text{O}} = 6\%$ , significantly lower than the saturation oxygen coverage reached during exposures at low  $\text{O}_2$  pressures ( $< 10^{-3}$  Torr). It is also significantly lower than the total oxygen coverage reached during low-pressure exposures to  $\text{H}_2\text{O}$  vapor. In order to try to eliminate the possible role of air borne contaminants, the  $a\text{-CH}_x$  film was also exposed to 1 atm of clean air ( $\text{H}_2\text{O} < 2$  ppm, total hydrocarbon  $< 0.1$  ppm, CO and  $\text{CO}_2 < 1$  ppm) at room temperature for 1 h. The oxygen coverage of the  $a\text{-CH}_x$  exposed to clean air was  $\theta_{\text{O}} = 5\%$ , still much lower than those at low  $\text{O}_2$  pressures.

The observation that the exposure of the  $a\text{-CH}_x$  overcoats to low pressures ( $< 10^{-3}$  Torr) of  $\text{O}_2$  leads to a higher oxygen content

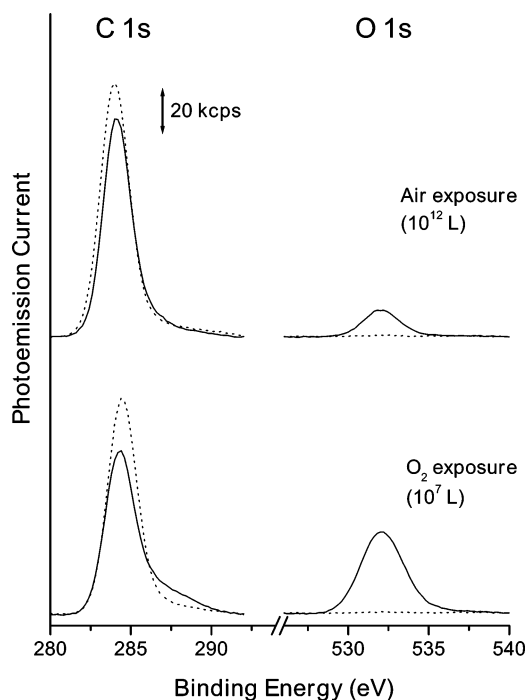
(28) Summers, R. L. *Empirical Observations on the Sensitivity of Hot Cathode Ionization Type Vacuum Gauges*; NASA Technical Report NASA-TN-D-5285; National Aeronautics and Space Administration: Houston, 1969.

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**Figure 5.** Comparison of oxygen content on  $a\text{-CH}_x$  film surfaces in  $\text{H}_2\text{O}$  vapor and in  $\text{O}_2$ . The data were measured at  $1 \times 10^{-4}$  Torr with the  $a\text{-CH}_x$  film held at 300 K. The XP spectra of  $\text{H}_2\text{O}$ -exposed  $a\text{-CH}_x$  are qualitatively similar to those of  $\text{O}_2$ -exposed  $a\text{-CH}_x$ . The kinetics of  $a\text{-CH}_x$  oxidation in  $\text{H}_2\text{O}$  are roughly twice as fast as in  $\text{O}_2$ .



**Figure 6.** C 1s and O 1s XP spectra of the  $a\text{-CH}_x$  film before (dotted lines) and after (solid lines) exposure to  $10^7$  L of  $\text{O}_2$  (300 K,  $10^{-4}$  Torr) or exposure to  $10^{12}$  L of air (300 K, 760 Torr). The higher exposure to air results in a lower net level of surface oxidation,  $\theta_{\text{O}} \approx 6\%$ , than the much lower exposure to  $\text{O}_2$ ,  $\theta_{\text{O}} \approx 20\%$ .

than exposure to high pressures (1 atm) of air is quite interesting and obviously important. It suggests that there must be some very slow oxidation processes that cannot be captured by studies of the oxidation at low pressures ( $<10^{-3}$  Torr). These slow oxidation processes must etch the  $a\text{-CH}_x$  overcoats removing the

oxygen adsorbed at low exposures in the form of CO or  $\text{CO}_2$  and leaving a surface that is inert to further oxidation. Ongoing work is being conducted to test this hypothesis.

#### 4. Discussion

**4.1 Oxygen Sticking Coefficient.** The dissociative sticking coefficient of  $\text{O}_2$  was estimated in order to quantify the reactivity of the  $a\text{-CH}_x$  surface to  $\text{O}_2$ . The flux of  $\text{O}_2$  to the surface at  $2 \times 10^{-4}$  Torr is  $F \approx 10^2 \text{ site}^{-1} \cdot \text{s}^{-1}$  and yet it takes  $\sim 10^4$  s for the oxidation to approach saturation. This indicates that the dissociative sticking coefficient for  $\text{O}_2$  is  $S \approx 10^{-6}$ . It should be noted that because the surface of the  $a\text{-CH}_x$  film is likely to be very heterogeneous, this is a very rough estimate. Locally, the dissociative sticking coefficient might vary by several orders of magnitude between the most and the least reactive sites for oxidation. Nonetheless, this number is much lower than that of reactive metal surfaces, many of which have oxygen sticking coefficients of  $S \approx 1$ .<sup>31,32</sup> This suggests that there is a significant barrier to the dissociative adsorption of oxygen on the sites exposed by the  $a\text{-CH}_x$  surface. Using the value of  $S = 10^{-6}$  one can estimate the time for oxidation of the  $a\text{-CH}_x$  surface at atmospheric pressure and room temperature. The surface of a fresh  $a\text{-CH}_x$  surface is saturated with oxygen within about 10 ms of removal from the vacuum sputter deposition chamber.

**4.2  $a\text{-CH}_x$  Oxidation Kinetics and Mechanism.** The oxidation kinetics of the  $a\text{-CH}_x$  films have been modeled using an expression derived from the Langmuir–Hinshelwood mechanism:<sup>33,34</sup>

$$\text{O}_{2(\text{g})} \xrightleftharpoons{K} \text{O}_{2(\text{ad})} \quad \theta_{\text{O}_2} = \theta_{\text{O}_2}^{\text{sat}} \frac{K P_{\text{O}_2}}{1 + K P_{\text{O}_2}} \quad (2)$$

$$\text{O}_{2(\text{ad})} \xrightarrow{k} \text{O} \quad r = k \theta_{\text{O}_2} (\theta_{\text{O}}^{\text{sat}} - \theta_{\text{O}})^2 \quad (3)$$

The first step describes first-order, reversible adsorption of  $\text{O}_2$  on empty adsorption sites on the surface with an equilibrium constant  $K$ . The isotherm relates the equilibrium  $\text{O}_2$  coverage ( $\theta_{\text{O}_2}$ ) to the saturation  $\text{O}_2$  coverage ( $\theta_{\text{O}_2}^{\text{sat}}$ ),  $K$ , and the  $\text{O}_2$  pressure ( $P_{\text{O}_2}$ ). The molecular adsorption of  $\text{O}_2$  is likely to occur via a weak van der Waals interaction with a variety of different sites on the amorphous  $a\text{-CH}_x$  surface. The second step is a second-order irreversible dissociation reaction depositing oxygen onto the surface with a rate constant  $k$ . The dissociation rate is proportional to  $k$  and  $\theta_{\text{O}_2}$ , and is second-order in the coverage of empty adsorption sites ( $\theta_{\text{O}}^{\text{sat}} - \theta_{\text{O}}$ ). Combined and integrated, these two steps yield the oxygen coverage ( $\theta_{\text{O}}$ ) as a function of oxidation time ( $t$ ):

$$\theta_{\text{O}} = \theta_{\text{O}}^{\text{sat}} - \left[ k \theta_{\text{O}_2}^{\text{sat}} \frac{K P_{\text{O}_2}}{1 + K P_{\text{O}_2}} t + (\theta_{\text{O}}^{\text{sat}})^{-1} \right]^{-1} \quad (4)$$

The curves in Figure 3 are the fits of this expression to the oxygen coverage measured as a function of oxidation time at seven  $\text{O}_2$  pressures. The quality of the fit suggests that the Langmuir model is a reasonable description of the oxidation process. In many respects the quality of the fits is surprisingly good. The Langmuir adsorption model is based on assumptions that the surface is homogeneous and that one can describe  $\text{O}_2$  adsorption and dissociation at a given temperature with single

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values of the equilibrium constant,  $K$ , and the rate constant,  $k$ . Given the complexity of the surfaces of the  $a\text{-CH}_x$  films, this seems unlikely and yet the fits of eq 4 to the oxygen uptake data are remarkably good. The results show that the Langmuir model can be used to make rough predictions of the oxidation uptake of the  $a\text{-CH}_x$  overcoats on magnetic data storage hard disks.

The equilibrium constant  $K$  for the reversible  $\text{O}_2$  adsorption step and the rate constant  $k$  for the  $\text{O}_2$  dissociation step have been estimated on the basis of the fits of the Langmuir model to the data. The value of  $K$  falls in the range  $10^3\text{--}10^4 \text{ Torr}^{-1}$ . The value of  $k$  is in the range  $10^{-8}\text{--}10^{-7} \text{ s}^{-1}$ . At an  $\text{O}_2$  pressure of  $10^{-4}$  Torr the values of these constants indicate that the coverage of adsorbed  $\text{O}_2$  is roughly  $\theta_{\text{O}} \approx 1$  and leads to an adsorption rate consistent with our estimate of  $10^{-6}$  for the dissociative sticking coefficient. This can be understood by viewing the first step as a physisorption process, whereas the second step is a dissociative chemisorption process involving the breaking of oxygen–oxygen bonds and the formation of carbon–oxygen bonds.

## 5. Conclusions

The oxidation of  $a\text{-CH}_x$  films is a two step process involving reversible  $\text{O}_2$  adsorption followed by dissociation. The net rate

constant or dissociative sticking coefficient is  $S \approx 10^{-6}$  and indicates that there is a significant barrier to dissociative adsorption. Thus, physisorbed  $\text{O}_2$  is much more likely to desorb than dissociate and can equilibrate on the surface in the step prior to dissociation. The uptake of oxygen by exposure of the surface to  $\text{H}_2\text{O}$  occurs with kinetics that are quite similar to those of oxidation by  $\text{O}_2$ , suggesting a similar process.

Although the surfaces of  $a\text{-CH}_x$  films are heterogeneous in nature, it appears that the description of their oxidation kinetics in the presence of  $\text{O}_2$  is quite simple. Oxidation in  $\text{O}_2$  can be described as a Langmuir–Hinshelwood reaction with a relatively low rate constant for oxidation. The values for the adsorption equilibrium constant and the dissociation rate constant obtained in this work can be used as rough estimates for prediction of the oxygen uptake on  $a\text{-CH}_x$  surfaces under controlled oxidation conditions.

Our results describe the short-term oxidation kinetics of the  $a\text{-CH}_x$  overcoats during exposures on the order of  $10^7$  L. At much higher exposures, additional processes reduce the saturation oxidation level of the surface presumably by removal of partially oxidized carbon as CO or  $\text{CO}_2$ .

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